



# Precise Identification and Characterization of Catalytically Active Sites on the Surface of $\gamma$ -Alumina\*\*

Konstantin Khivantsev<sup>†</sup>,\* Nicholas R. Jaegers<sup>†</sup>, Ja-Hun Kwak<sup>†</sup>,\* Janos Szanyi,<sup>†</sup> and Libor Kovarik<sup>†\*</sup>

**Abstract:**  $\gamma$ -alumina is one of the oldest and most important commercial catalytic materials with high surface area and stability. These attributes enabled its use as the first commercial large-scale heterogeneous catalyst for ethanol dehydration. Despite progress in materials characterization the nature of the specific sites on the surface of  $\gamma$ -alumina that are responsible for its unique catalytic properties has remained obscure and controversial. By using combined infrared spectroscopy, electron microscopy and solid-state nuclear magnetic resonance measurements we identify the octahedral, amphoteric  $(O)_5Al(VI)$ -OH sites on the (100) segments of massively restructured (110) facets on typical rhombus-platelet  $\gamma$ -alumina as well as the (100) segments of irrational surfaces (invariably always present in all  $\gamma$ -alumina samples) responsible for its unique catalytic activity. Such  $(O)_5Al(VI)$ -OH sites are also present on the macroscopically defined (100) facets of  $\gamma$ -alumina with elongated/rod-like geometry. The mechanism by which these sites lose -OH groups upon thermal dehydroxylation resulting in coordinatively unsaturated penta-coordinate  $Al^{+3}O_5$  sites is clarified. These coordinatively unsaturated penta-coordinate Al sites produce well-defined thermally stable Al-carbonyl complexes. Our findings contribute to the understanding of the nature of coordinatively unsaturated Al sites on the surface of  $\gamma$ -alumina and their role as catalytically active sites.

## Introduction

Since Vladimir Ipatieff's discovery in 1902 that  $\gamma$ -alumina can be used as a robust large-scale catalyst for ethanol dehydration to produce ethylene,<sup>[1,2]</sup> this material has emerged as the oldest and most commercially important heterogeneous catalysts, featuring high surface area and excellent high-temperature stability.<sup>[3]</sup> Subsequently,  $\gamma$ -alumina found wide applications as a support for organometallic molecular complexes, isolated ions, nanoparticles, etc.,<sup>[1]</sup> the latter becoming industrially relevant for automotive applications and petroleum refining.<sup>[4–6]</sup> The precise nature of the

catalytically active surface sites of  $\gamma$ -alumina remains obscure despite a multitude of studies aimed at clarifying this uncertainty.<sup>[7–12]</sup> The origin of this challenge lies in the complex crystalline structure of  $\gamma$ -alumina which is still unresolved, and which evolves into the closely related delta- and theta- transitional aluminas with thermal treatments above 850°C. This gap in the knowledge prevented full molecular understanding of the surface of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub>.<sup>[7–11]</sup> Only recently, with the aid of state-of-the-art high-angle annular dark field scanning transmission electron microscopy (HADDF-STEM) and high resolution (HR) TEM imaging coupled with the diffraction and solid-state nuclear magnetic resonance (NMR) methods, Kovarik and co-workers solved the structures of delta- and theta- transition alumina phases.<sup>[7–13]</sup> In contrast to previous understanding, it was revealed that the  $\delta$ -structure is an interwoven intergrowth in two dimensions of crystallographically distinct  $\delta_{1,2,3,4}$  phases.<sup>[8–11]</sup> By correlating the imaging and spectroscopy results with density functional theory (DFT) calculations,  $\theta$ -Al<sub>2</sub>O<sub>3</sub> was found to be a highly defective structure, which can be interpreted as a structure consistent with  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> phase containing a high density of  $\delta_3$ -Al<sub>2</sub>O<sub>3</sub>-like defects that significantly modify the bonding environment.<sup>[9]</sup> In prior studies,  $\theta$ -Al<sub>2</sub>O<sub>3</sub> was considered isostructural to  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>. Despite these advances in molecular understanding of the structures and surfaces of  $\theta$ - and  $\delta$ -Al<sub>2</sub>O<sub>3</sub>, the bulk structure of  $\gamma$ -alumina remains unknown.<sup>[12,13]</sup> Numerous studies have aimed at understanding  $\gamma$ -alumina using infrared spectroscopy, NMR, and DFT calculations, and specifying the active sites on the surface of  $\gamma$ -alumina that are responsible for both catalysis and the anchoring of metal atoms/organometallic fragments/nanoparticles.<sup>[14–22]</sup> In those studies,<sup>[14–20]</sup> tri-coordinate sites were suggested to form on the dehydroxylated alumina surfaces upon heating to 400°C and were probed with the IR spectrum of adsorbed nitrogen.<sup>[14–20]</sup> However, the theoretical parts of these studies included several critical assumptions regarding surface terminations, their reconstruction and most importantly crystal structure of  $\gamma$ -alumina. For

[\*] Dr. K. Khivantsev,<sup>[†]</sup> Dr. N. R. Jaegers,<sup>[†]</sup> Dr. J. Szanyi, Dr. L. Kovarik<sup>[†]</sup>  
Institute for Integrated Catalysis  
Pacific Northwest National Laboratory  
Richland, WA 99352 (USA)  
E-mail: Konstantin.Khivantsev@pnnl.gov  
Janos.Szanyi@pnnl.gov  
Libor.Kovarik@pnnl.gov  
Prof. J.-H. Kwak<sup>[†]</sup>  
Ulsan National Institute of Science and Technology (UNIST)  
Ulsan, 44919 (Republic of Korea)

E-mail: jhkak@unist.ac.kr

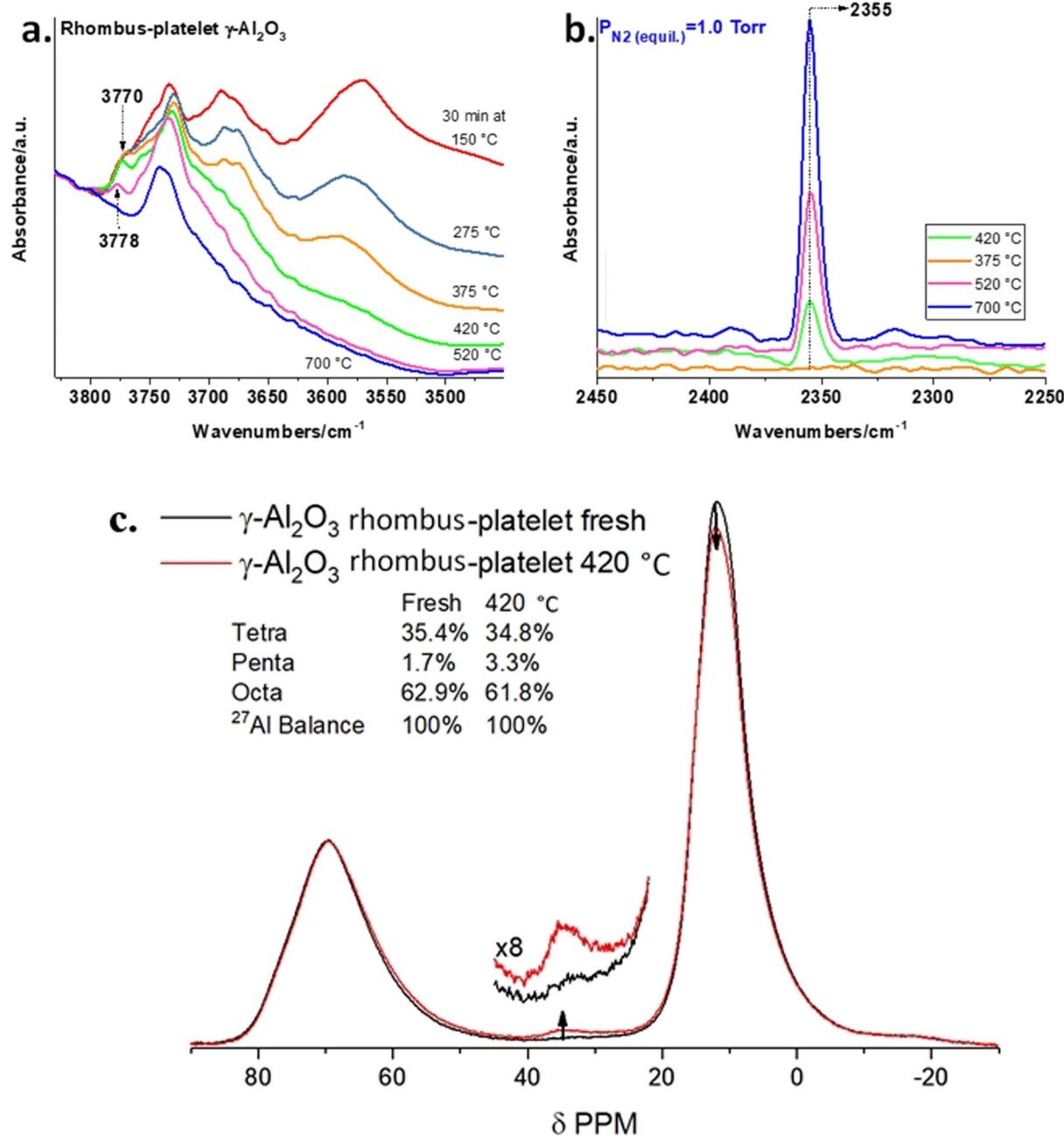
[†] These authors contributed equally to this work.

[\*\*] A previous version of this manuscript has been deposited on a preprint server (<https://doi.org/10.26434/chemrxiv.11829576.v1>).

[‡] Supporting information and the ORCID identification number(s) for the author(s) of this article can be found under: <https://doi.org/10.1002/anie.202102106>.

example, the assumption regarding the crystallographic structure of  $\gamma$ -alumina as defective spinel containing the ratio between tetra- and octahedral Al atoms in the bulk structure as 25% and 75%, explicitly stated in multiple studies,<sup>[14–20]</sup> naturally raises concern for their validity.<sup>[12,13]</sup> To assess these concerns, high-field solid-state NMR was employed to observe the aluminum distributions in two different  $\gamma$ -alumina samples with a rhombus-platelet geometry (surface area  $70\text{ m}^2\text{ g}^{-1}$ , synthesized in-house and  $200\text{ m}^2\text{ g}^{-1}$  surface area commercial SBA-200 of nanosized platelet-type morphology). XRD of both samples and HAADF-STEM/HRTEM

images (with the corresponding discussion) are shown in Figure S1 and S2. The rhombus-platelet sample exhibits a  $\approx 63$ –35% while the SBA-200  $\text{m}^2\text{ g}^{-1}$  possesses  $\approx 68$ –30% octa:tetrahedral  $\text{Al}^{3+}$  ratio (Figure 1C, S3). These values are indicative of a bulk crystal structure very different from the models assumed in prior reported literature, rendering them poorly representative of the -OH groups on the surface and of surface-adsorbate interactions. It is important to note that most infra-red -OH group assignments on the surface of  $\gamma$ -alumina come from DFT models<sup>[14–20,22]</sup> and intuition,<sup>[21]</sup> however definitive proof in the assignments is lacking. It



**Figure 1.** a) In situ FTIR during heating of the rhombus-platelet  $\gamma$ -alumina pellet under vacuum (30 min at  $\approx 10^{-7}$  torr) after cooling back to  $25^\circ\text{C}$ ; spectra were recorded on the same tablet. b) FTIR during  $5\text{ N}_2\text{ torr}$   $\text{N}_2$  adsorption (equilibrium pressure 1.0 torr) at  $25^\circ\text{C}$  on  $\gamma$ -alumina treated at different temperatures after each temperature treatment. c) Solid-state  $^{27}\text{Al}$  NMR data for rhombus-platelet  $\gamma$ -alumina before and after prolonged heating at  $420^\circ\text{C}$  (inset shows 8 times magnified peak between 40 and 20 ppm belonging to penta-Al sites).

was previously shown that penta-coordinate sites exist on the  $\gamma$ -alumina surface and are the anchoring points for isolated Pt atoms, PtOx rafts as well as tungsten oxide species responsible for creating catalytically active structure.<sup>[23]</sup> Penta-coordinate  $\text{Al}^{3+}$  sites in the Pt anchoring study were suggested to form on the (100) facet of the surface upon dehydration/dehydroxylation.<sup>[23]</sup> It is noted that the DFT surface model used in that study was not representative of the real surface; furthermore, SBA-200 material (Figure S2c–f) was used with a mixture of nanosized (100) and (111) facets on nanoplatelets as well as less defined crystals (Figure S2c,e). It was further suggested that the 5-coordinate  $\text{Al}^{3+}$  sites on macroscopically defined (001) facets are responsible for ethanol dehydration activity of  $\gamma$ -alumina.<sup>[24,25]</sup> However, for typical rhombus-platelet and SBA-200 alumina samples, no macroscopically defined (100) facets can be found yet they still show catalytic activity for ethanol dehydration (Figure S2, S4 and S5).

Herein, the -OH band at  $\approx 3770\text{--}3780\text{ cm}^{-1}$  in the IR spectrum is identified as the amphoteric  $\text{Al}^{\text{VI}}\text{-OH}$  site on the heavily restructured (110) facets of rhombus-platelet  $\gamma$ -alumina, responsible for its catalytic activity in alcohol dehydration. These -OH groups serve as the precursor to coordinatively unsaturated penta-coordinate  $\text{Al}^{\text{V}}$  sites.

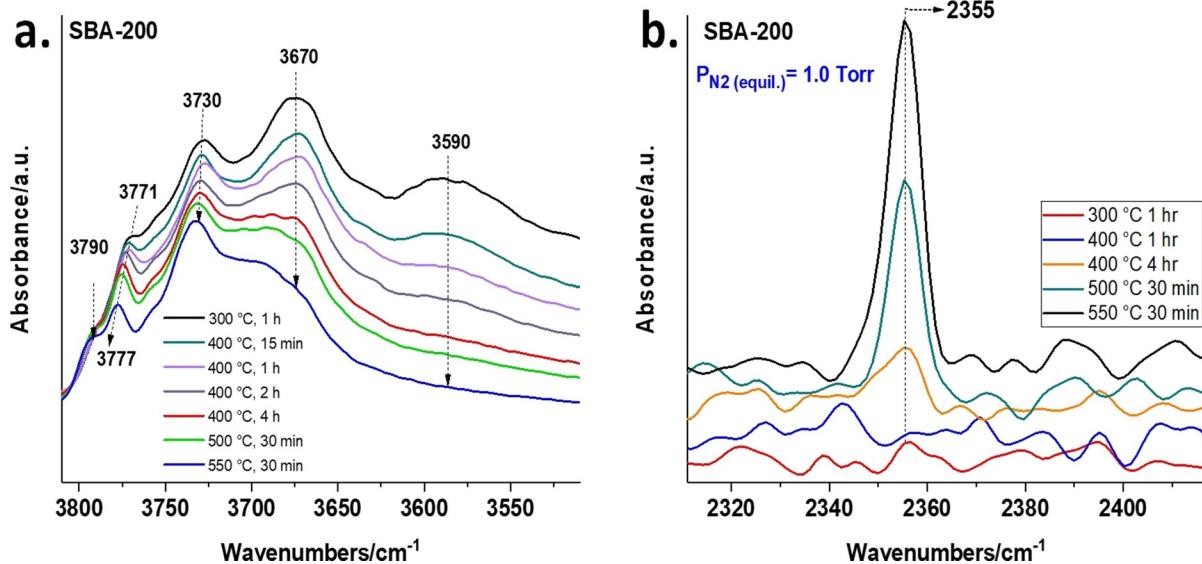
Zubkov and co-workers demonstrated in the 1980s<sup>[26]</sup> that  $\gamma$ -alumina was able to form a dinitrogen complex with molecular nitrogen after thermal dehydration, exhibiting the N-N stretching band at  $2360\text{ cm}^{-1}$ . Coperet et al., later stated that  $\text{N}_2$  is a selective probe for 3-coordinate  $\text{Al}^{3+}$  sites that form upon dehydroxylation of the tetrahedral  $\text{O}_3\text{-Al}^{\text{IV}}\text{-OH}$  sites on the (110) facet, which coincides with the formation of a band at  $2355\text{ cm}^{-1}$ . It was later suggested that such tri-coordinate  $\text{Al}^{3+}$  ions anchored organometallic fragments and produced active catalysts while organometallic fragments anchored on other  $\text{Al}^{3+}$  sites did not produce active catalysts.<sup>[15,16,18]</sup> However, these studies suffered from inaccurate structural representation in the models employed, casting

doubt on the conclusions drawn.<sup>[10,12,13]</sup> Furthermore, a correlation between the observed -OH groups and the ability to activate dinitrogen, which we provide in our study, was absent. Figure S6 confirms that heated  $\gamma$ -alumina is indeed able to coordinate dinitrogen.

## Results and Discussion

Both the OH- and N-N stretching vibrations of rhombus-platelet  $\gamma$ -alumina upon thermal treatment were studied with FTIR. The sample was pressed into a thin pellet and IR studies were conducted in the transmission mode. After dehydrating in vacuum and cooling to  $25^\circ\text{C}$  ( $< 10^{-7}$  torr for 30 minutes at each temperature), FTIR spectra were collected. Upon cooling to  $25^\circ\text{C}$  adsorption of  $\text{N}_2$  was performed (at  $P_{\text{equil}} = 1$  torr) (panels **a** and **b** of Figure 1).

As the annealing temperature increases the intensities of all -OH groups begin to decline except the one centered at  $\approx 3770\text{--}3780\text{ cm}^{-1}$ . This indicates dehydration (and/or dehydroxylation) is taking place on the surface at elevated temperatures. The  $3770\text{ cm}^{-1}$  band starts to decrease when the temperature exceeds  $375^\circ\text{C}$ , and it fully disappears after annealing at  $700^\circ\text{C}$ . Simultaneously, the adsorption of dinitrogen at an equilibrium pressure of  $\approx 1$  torr was carried out at room temperature after each thermal treatment. Notably, no  $\text{N}_2$  adsorption, characterized by the IR stretching vibrational feature at  $2355\text{ cm}^{-1}$ , takes place after annealing at  $375^\circ\text{C}$ , despite the decline of all -OH bands, except the one centered at  $3770\text{ cm}^{-1}$ . When the annealing temperature exceeds  $420^\circ\text{C}$ , the intensity of the  $\approx 3770\text{--}3780\text{ cm}^{-1}$  band starts to decrease and  $\text{N}_2$  adsorption occurs (Figure 2). This indicates, that only when the  $\approx 3770\text{ cm}^{-1}$  band starts to decrease a new  $\text{Al}^{3+}$  site (free of -OH group) evolves which is capable of adsorbing  $\text{N}_2$ . From  $420$  to  $700^\circ\text{C}$  the intensity of the sharp N-N band in the FTIR spectra increases. Table S1



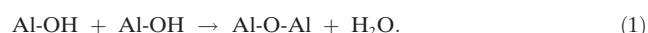
**Figure 2.** a) In-situ FTIR during SBA-200 heating under vacuum (spectra recorded after pre-treating at each temperature at a specified time and then cooling back down to  $25^\circ\text{C}$ ). b) FTIR during  $5\text{ N}_2\text{ torr}$   $\text{N}_2$  adsorption (equilibrium pressure 1.0 torr) at  $25^\circ\text{C}$  on  $\gamma$ -alumina after annealing treatments shown in Figure 2 a).

illustrates the correlation between the N-N band formation and the intensity decrease of the  $3770\text{ cm}^{-1}$  -OH vibrational feature, confirming that adsorption of  $\text{N}_2$  takes place on the  $\text{Al}^{3+}$  sites that form upon dehydroxylation of a specific type -OH groups.

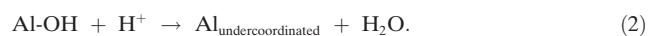
It is noted that as the temperature increases from 375 to  $520^\circ\text{C}$  the -OH group visible at  $3771\text{ cm}^{-1}$  shifts to  $3778\text{ cm}^{-1}$ . Elimination of dipole-dipole coupling interactions is expected to shift the spectrum in the opposite direction, negating this as a possible explanation. Therefore, the presence of a family of similar Al-OH sites with slightly varying properties (i.e., second-shell ligand environment) is responsible for this effect: more specifically, Al-OH groups at slightly higher frequencies are the most thermally stable ones (the last ones to lose their -OH groups).

Quantitatively similar findings are observed for the SBA-200 sample (Figure 2).

Two different modes of dehydration/dehydroxylation may be active on this surface:



In this case, no change in  $\text{Al}^{3+}$  coordination is expected; however, the data indicate that Al coordination decreases since this Al atom without OH group activates  $\text{N}_2$ . Therefore, the entire -OH group must be lost. The following process can explain the loss of -OH to form an undercoordinated  $\text{Al}^{3+}$  site:



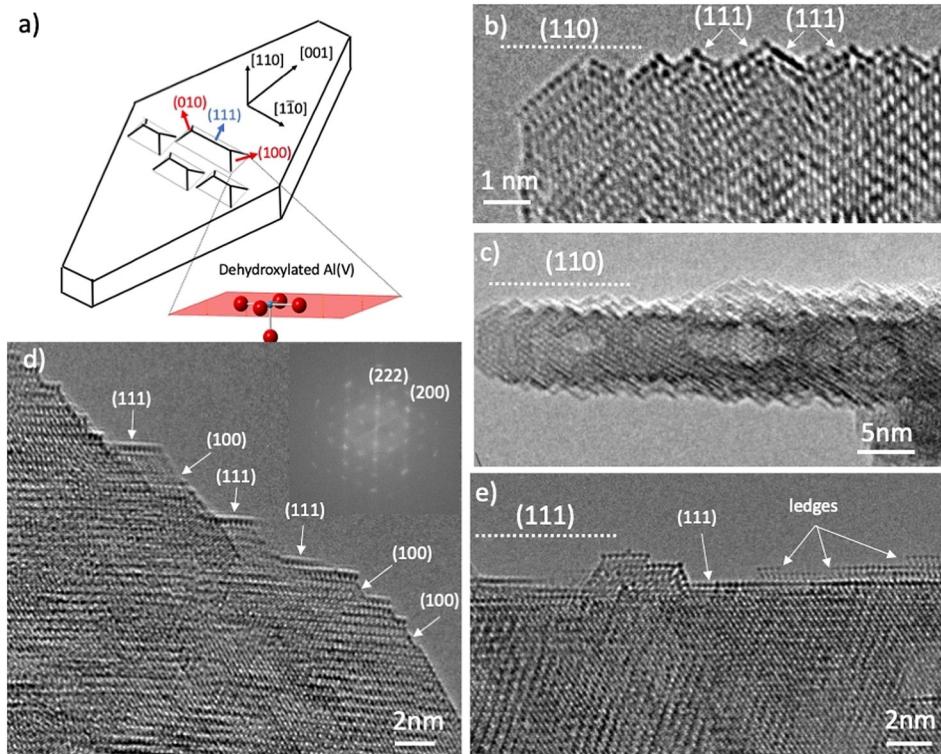
Brønsted acidic -OH groups of bridging Al-OH-Al sites ( $\text{Al-OH-Al} \approx 3660\text{--}3680\text{ cm}^{-1}$ ) harbor mobile protons which may interact with the basic -OH groups ( $\approx 3770\text{--}3780\text{ cm}^{-1}$ ) and desorb as water. Since the intensities of the  $3770$  and  $3660\text{ cm}^{-1}$  bands decrease in concert (Figure 1a, Figure 2a), the  $3770\text{ cm}^{-1}$  band may be assigned to a basic (amphoteric) Al-OH site. Its basicity is confirmed by the observation of the formation of bicarbonate via interaction with carbon dioxide.  $\text{CO}_2$  (which is acidic) interacts only with -OH groups possessing basic character resulting in the formation of a bicarbonate. Figure S7 illustrates that the Al-OH band represented by the  $\approx 3770\text{ cm}^{-1}$  feature selectively reacts with  $\text{CO}_2$  to form bicarbonates. (The C-O stretching region is complex due to multiple modes of  $\text{CO}_2$  interaction with the surface, but the  $3606\text{ cm}^{-1}$  band unambiguously demonstrates the formation of bicarbonates that form at the expense of the OH group with basic character represented by the IR band at  $3770\text{ cm}^{-1}$ .)

The ligand environment of the Al-OH site responsible for the  $\approx 3770\text{ cm}^{-1}$  IR feature can be either octa-, penta- or tetrahedral. Dehydroxylation of that site would then produce undercoordinated  $\text{Al}^{3+}$  ions maintaining an Al valency of +3:  $\text{Al}^{\text{V}}\text{O}_5$  (penta-),  $\text{Al}^{\text{IV}}\text{O}_4$  (tetra-) and  $\text{Al}^{\text{III}}\text{O}_3$  (tri-coordinate), respectively. High field solid-state  $^{27}\text{Al}$  NMR is extremely useful<sup>[27,28]</sup> to illuminate the changes in surface coordination during the annealing process and it confirms that the  $\approx 3770\text{--}3780\text{ cm}^{-1}$  IR band represents the -OH group of the amphoteric  $\text{O}_5\text{Al}^{\text{VI}}\text{-OH}$  site. Only three types of  $\text{Al}^{3+}$  sites

are observed from the beginning until the end of dehydroxylation in Figure 1C (tetra-, penta- and octahedral), in agreement with previous studies.<sup>[23,24]</sup> Most importantly, the total Al site balance that can be determined with high precision in our solid-state NMR experiments (we use the same amount of sample in the rotor for experiments before and after dehydration; furthermore, the total Al signal balance agrees in multiple experiments before and after dehydration within 0.2 % of the total spectral Al signal area, retains unity after the heat treatment, signifying that no tri-coordinate or other undetectable Al species evolves upon annealing (within the error margin; moreover, if coordinatively unsaturated sites, other than the  $\text{Al}_{\text{penta}}$  sites, indeed evolved during the thermal treatment, FTIR adsorption with CO and  $\text{N}_2$  probe molecules (IR with CO probe molecule is more sensitive than NMR due to the very high molar extinction coefficients of metal-carbonyl complexes resulting in very intense C-O stretching bands) would be able to distinguish those as discussed in the manuscript *vide infra*) (Figure 1C). The number of octahedral  $\text{Al}^{3+}$  sites decreases at the expense of the evolving penta-coordinate  $\text{Al}^{3+}$  sites upon heating (Figure 1C).

This indicates that the  $\approx 3770\text{ cm}^{-1}$  band belongs to octahedral  $\text{O}_5\text{Al}^{\text{VI}}\text{-OH}$  species which produces penta-coordinate  $\text{O}_5\text{Al}^{\text{V}}$  sites upon dehydroxylation that, in turn, can bind  $\text{N}_2$ . It has been suggested in multiple previous studies that the “tri-coordinate”  $\text{Al}^{3+}$  site on (110) terminated facet,<sup>[14–20]</sup> is capable of adsorbing nitrogen and that it is also responsible for anchoring organometallic fragments to generate active molecular catalysts.<sup>[14–20]</sup> Our results indicate that neither of these functions should be ascribed to the tri-coordinate site but instead to the penta  $\text{O}_5\text{Al}^{\text{V}}$  site that is formed during dehydroxylation of  $\text{O}_5\text{Al}^{\text{VI}}\text{-OH}$ . It is important to note that even DFT calculations in the studies that ascribed  $\text{N}_2$  binding to tri-coordinate  $\text{Al}^{3+}$  sites on (110) facets, indicated the very high temperatures required to form tri-coordinate  $\text{Al}_1\text{O}_3$  sites (on the order of  $900^\circ\text{C}$ ), highlighting the unfavourability of their formation in the studied models.

We now show that a main portion of these octahedral  $\text{O}_5\text{Al}^{\text{VI}}\text{-OH}$  sites is located on macroscopically defined and heavily restructured (110) surfaces (Figure 3). With high-resolution transmission electron microscopy (HRTEM), we were able to visualize the different facets of the rhombus-platelet  $\gamma$ -alumina sample. Analysis of the high resolution images revealed that the formation of  $\text{O}_5\text{Al}^{\text{V}}$  sites on the macroscopically defined (110) surfaces was possible due to significant surface reconstructions, which breaks down the surface to (111) and (100) segments (Figure 3a–c). The required symmetry for the terminal OH groups on penta-coordinate sites can be found only on the (100) segments. In our HRTEM observations the (111) segments can be visualized directly because they form ridges that are aligned along the observation direction. The presence of the (100) segments is inferred from the observation that the (111) ridges have finite length on the (110) surface, and end with the (100) termination. The observation shown in Figure 3b depicts a thicker portion of (110) facets with multiple finite length ridges in apparent overlap. A schematic of this geometry is shown in Figure 3a. The higher thermodynamic



**Figure 3.** a–c) HRTEM observations of (110) surfaces of rhombus-platelet  $\gamma$ -alumina. The (110) surface undergoes a significant reconstruction and the macroscopically defined surface develops (111) and (100) facets. d) HRTEM observation of an irrational surface of  $\gamma$ -alumina consisting of (100) and (111) segments. e) HRTEM observation of (111) surface facet.

stability of the (111) and (100) facets compared to (110) plays a role in the observed restructuring. The (111) facet does not contain coordinatively unsaturated  $\text{Al}^{\text{VI}}\text{O}_5$  sites, (Figure 3e, Figure S8).

We also note that all  $\gamma$ -alumina samples contain, in addition to well-defined crystals, less defined crystals with irrational surfaces (Figure 3d, Figure S9, Figure S2e). Those surfaces also break down into (111) and (100) segments with  $\text{Al}^{\text{VI}}\text{O}_5$  sites present on them from dehydroxylation of  $\text{Al}^{\text{VI}}\text{-OH}$  sites represented by the  $\approx 3770\text{ cm}^{-1}$  band in the IR spectrum. Depending on the synthesis conditions, their amount varies. The rhombus-platelet particles contain virtually no macroscopically defined (100) facets (due to its geometry), and the  $\text{Al}^{\text{VI}}\text{-OH}$  sites are located predominantly on the restructured (110) surfaces (visualized in Figure 2).

We note, that in the IR spectrum of the SBA-200 material a band at  $3790\text{ cm}^{-1}$  coexists with the main feature at  $3770\text{--}3780\text{ cm}^{-1}$  (Figure 2a); this band is practically absent in the IR spectrum of the rhombus-platelet sample (Figure 1a). The same pronounced feature at  $3790\text{ cm}^{-1}$  is also seen for the elongated, rod-like alumina sample (Figure S10) which contains a significant fraction of macroscopically defined (100) facets. The  $\text{Al}^{\text{VI}}\text{-OH}$  feature at  $3790\text{ cm}^{-1}$  is much more resistant to thermal treatment than the  $3770\text{ cm}^{-1}$  feature (Figure 2a, Figure S10). As we mentioned earlier, the -OH stretching band at  $3770\text{--}3780\text{ cm}^{-1}$  contains contributions from very similar, yet subtly different (with slightly varying local environments)  $\text{Al}^{\text{VI}}\text{-OH}$  sites; furthermore, the higher the frequency of the  $\text{Al}^{\text{VI}}\text{-OH}$  site, the harder it is to remove

by dehydroxylation. Thus, the higher thermal stability of the  $\text{Al}^{\text{VI}}\text{-OH}$  groups represented by the  $3790\text{ cm}^{-1}$  band is not surprising. It is tempting to assign it to the  $\text{Al}^{\text{VI}}\text{-OH}$  sites on the macroscopically defined (100) faces, whose fraction is very small (due to the geometry) in the rhombus-platelet sample and increases significantly in the rod-like sample. However, we also note that SBA-200 sample that (in addition to nano-platelets) contains less defined nanocrystals and irrational surfaces still has this well-pronounced feature (Figure 2a). Therefore, it is very likely that the samples containing irrational surfaces have the additional population of  $\text{O}_5\text{Al}^{\text{VI}}\text{-OH}$  groups giving rise to the  $3790\text{ cm}^{-1}$  feature on those surfaces. The rod-like sample probably contained a higher amount of such irrational surface than the rhombus-platelet sample due to synthesis conditions.

It is also important to note that SBA-200 is in contact with air when loaded in the IR cell and presents no  $\approx 3790\text{--}3770\text{ cm}^{-1}$  bands. These -OH bands appear only after heating above  $250^\circ\text{C}$  under vacuum in the IR cell. Their appearance is due to the desorption of carbon dioxide which interacts with the basic (amphoteric) -OH groups and prevents their observation (Figure S11). This observation accounts for the lower activity of the  $200^\circ\text{C}$  calcined alumina relative to the  $500^\circ\text{C}$  calcined alumina for ethanol dehydration.<sup>[26]</sup> (We recommend that all alumina samples be pre-treated at least at  $\approx 300^\circ\text{C}$  in flowing air prior to measuring alcohol dehydration (and activity in other catalytic reactions) in order to evaluate catalytic activity. Otherwise, a part of the surface remains covered by carbon dioxide and potentially other impurities).

Because dehydroxylation of the  $\text{O}_5\text{Al}^{\text{VI}}\text{-OH}$  site leaves a coordinatively unsaturated  $\text{Al}^{\text{V}}\text{O}_5$  site, mobile Brønsted acid protons of bridging Al-OH-Al are required to form water from dehydroxylation (as discussed *vide supra*). Thus, we postulate that the -OH groups represented by the  $\approx 3660\text{--}3680\text{ cm}^{-1}$  IR band belong to the different families of bridging, most likely, doubly bridging Al-OH-Al sites. The triply bridging OH groups likely manifest themselves as bands between  $3600$  and  $3520\text{ cm}^{-1}$  (Figure 1a, Figure 2a). The intense band(s) between  $3740\text{--}3720\text{ cm}^{-1}$  that remain upon surface dehydroxylation at high temperature ( $700^\circ\text{C}$ ) under high vacuum on  $\gamma$ -alumina (Figure 1a, Figure 2b) and on other transition-aluminas (in particular, theta-alumina<sup>[22,29]</sup>), therefore, belong to  $\text{O}_3\text{Al}^{\text{IV}}\text{-OH}$  sites. These sites do not lose -OH group even at higher temperature due to unfavourability

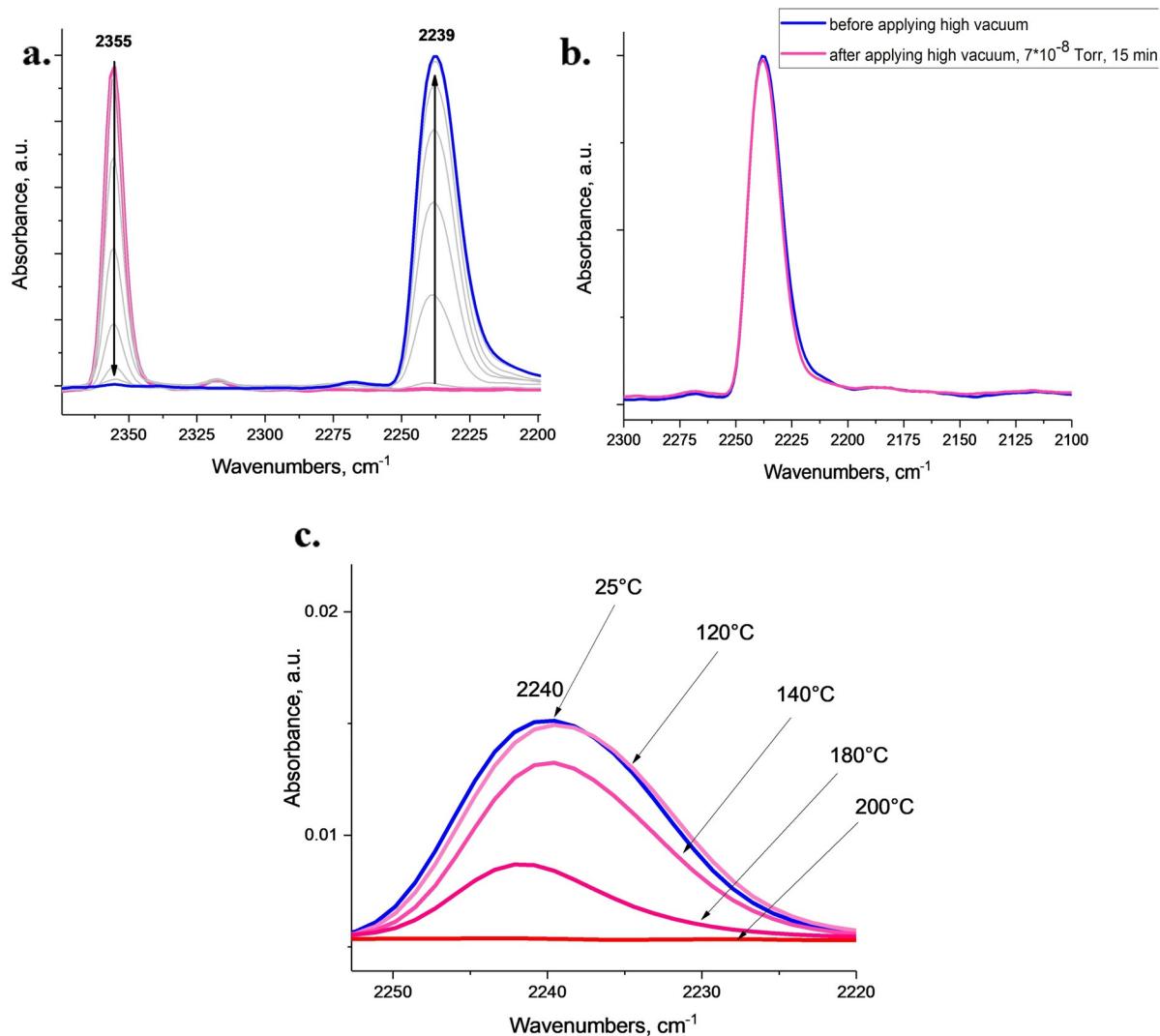
of formation of  $\text{Al}^{\text{III}}_1\text{O}_3$  sites, as noted previously by DFT. These tetrahedral  $\text{O}_3\text{Al}^{\text{IV}}\text{-OH}$  sites that survive high-temperature vacuum annealing without dehydroxylation, thus bear similarity with isolated tetrahedral silanol  $\text{O}_3\text{Si}^{\text{IV}}\text{-OH}$  of silica surfaces with OH stretches at  $\approx 3740 \text{ cm}^{-1}$ .<sup>[30]</sup>

In the study of Taoufik et al.,<sup>[31]</sup> experimental evidence from hetero- and homonuclear NMR spectra showed non-random distribution of surface sites on high surface area  $\gamma$ -alumina ( $200 \text{ m}^2 \text{ g}^{-1}$ ). However, the authors attributed the band at  $\approx 3770 \text{ cm}^{-1}$  to tetrahedral  $\text{O}_3\text{Al}(\text{IV})\text{-OH}$  sites. The signal in the proton NMR spectra at  $\approx 0.2 \text{ ppm}$  was assigned to  $\text{O}_3\text{Al}(\text{IV})\text{-OH}$  sites on the basis of amorphous low-nuclearity cluster models of Al-OH groups. We believe that the assignment of the  $\approx 3770 \text{ cm}^{-1}$  band reported in ref. [31] needs to be re-evaluated. The amorphous cluster models used are far from realistic and do not provide accurate conclusions regarding specific assignments.<sup>[32]</sup> With our new findings, if one were to assume that the  $\approx 3780\text{--}3770 \text{ cm}^{-1}$  band belonged to the tetrahedral site with an -OH group, its dehydroxylation

would result in a “bare” site (without the OH group). Based on the intensity of the  $\approx 3780\text{--}3770 \text{ cm}^{-1}$  band (which is significant), its dehydroxylation would result in significant amounts (on the order of at least 1% of total Al) of tri-coordinate Al sites: however, NMR shows no loss of such significant Al amounts and evolution of only 5-coordinate sites on the surface of alumina at the expense of the octahedral sites. Thus, it once again confirms our assignment that the  $\approx 3780\text{--}3770 \text{ cm}^{-1}$  sites belong to a family of octahedral  $\text{O}_5\text{Al}^{\text{VI}}\text{-OH}$  sites which form “bare”  $\text{O}_5\text{Al}^{\text{V}}$  sites upon thermal dehydroxylation.

After  $\text{N}_2$  adsorption on the  $\text{Al}_p$  (penta-coordinate  $\text{Al}^{3+}$ ) site, CO was dosed to observe the changes to the FTIR spectrum (Figure 4a).

The intensity of the N-N stretching vibrational feature of  $\text{N}_2$  adsorbed on the  $\text{Al}^{\text{V}}\text{O}_5$  site decreases concomitant with the development of a sharp band at  $2240\text{--}2239 \text{ cm}^{-1}$  (FWHM  $\approx 13 \text{ cm}^{-1}$ ) of adsorbed CO. This indicates that CO selectively displaces  $\text{N}_2$  on that site, forming a well-defined  $\text{O}_5\text{Al}^{\text{VI}}\text{-CO}$



**Figure 4.** a) *in situ* FTIR during CO adsorption (equilibrium pressure  $\approx 1$  torr) on the 500 °C annealed alumina saturated with  $\text{N}_2$  at 25 °C. b) Application of high vacuum on  $\text{CO}_{\text{ads}}/\text{Al}_2\text{O}_3$ , showing its high stability. c) Annealing of  $\text{CO}_{\text{ads}}/\text{Al}_2\text{O}_3$  under vacuum from 25 to 200 °C.

carbonyl complex. The reaction is irreversible, and only high pressure ( $> 10$  torr) of  $\text{N}_2$  is required to reform a small portion of the initial IR signature of the dinitrogen complex (Figure S15). This Al carbonyl complex is exceptionally stable under both high vacuum and annealing (Figure 4b and c), up to  $100^\circ\text{C}$ . Decomposition sets in above  $130^\circ\text{C}$  and is completed at  $\approx 200^\circ\text{C}$  (Figure 4c). In this instance, the CO stretch is upshifted by the  $\approx 100 \text{ cm}^{-1}$  compared to the gas phase CO. As such, it can be identified as a non-classical carbonyl metal complex.<sup>[33,34]</sup> No other solid material has CO bands vibrating at such high frequencies, exceeding even the previously described super electrophilic  $\text{Pd}^{\text{II}}$ ,  $\text{Pt}^{\text{II}}$  and  $\text{Ni}^{\text{II}}$  cations on zeolites whose CO complexes exhibit a vibrational feature at/below  $\approx 2220 \text{ cm}^{-1}$ .<sup>[33,34]</sup> ( $\text{N}_2$  adsorption and CO displacement experiments at  $77 \text{ K}$  followed by vacuum treatment of the CO complexes can be found in Figures S12,13.)

CO adsorption on alumina is typically characterized by a relatively wide feature with a maximum at  $\approx 2230 \text{ cm}^{-1}$ . No definitive assignments for this broad/wide signal have been provided. Herein, it is illustrated that the intensity of the  $2240 \text{ cm}^{-1}$  region of the wide signal depends on the extent of surface dehydroxylation. It is concluded that two components exist: the  $2240 \text{ cm}^{-1}$  (CO adsorbed strongly on penta-Al) and the  $2225 \text{ cm}^{-1}$  (CO adsorbed weaker on tetra-coordinate Al sites as seen in Figure S12, S13). The  $2225 \text{ cm}^{-1}$  component disappears completely upon evacuation even below  $25^\circ\text{C}$ . The difference between the stability of the Al carbonyl complexes on  $\text{AlO}_4$  and  $\text{AlO}_5$  sites is likely related to the presence of the fifth O ligand trans to the CO ligand, uniquely stabilizing the first well-defined, thermally stable  $\text{O}_5\text{-Al}^{\text{VI}}\text{-CO}$  carbonyl complex.

Having shown the identity of the  $\approx 3780\text{--}3770 \text{ cm}^{-1}$  band as the  $\text{O}_5\text{Al}^{\text{VI}}\text{-OH}$  site on the reconstructed (110) surface as well as (depending on geometry) (100) surface, this group is shown to be basic and amphoteric. The amphotericity of this -OH group is proven by adsorbing  $\text{Na}^+$  ions on the surface. The -OH intensity in this region dramatically decreases, confirming Na-O-Al linkage formation (Figure S14). Simultaneously, with the decrease of this band, activity in ethanol dehydration to ethylene drops precipitously (Figure S4,S5). Moreover, pyridine (basic molecule) also reacts with those sites with the formation of a pyridinium complex (not shown). Chupas et al. reported,<sup>[35]</sup> using pair-distribution-function methods and synchrotron XRD, that dehydroxylated  $\gamma$ -alumina surface contains Lewis acid sites capable of adsorbing monomethylamine  $\text{CH}_3\text{NH}_2$  molecules: these Lewis sites were shown to be penta-coordinate sites, in excellent agreement with our findings.<sup>[35]</sup>

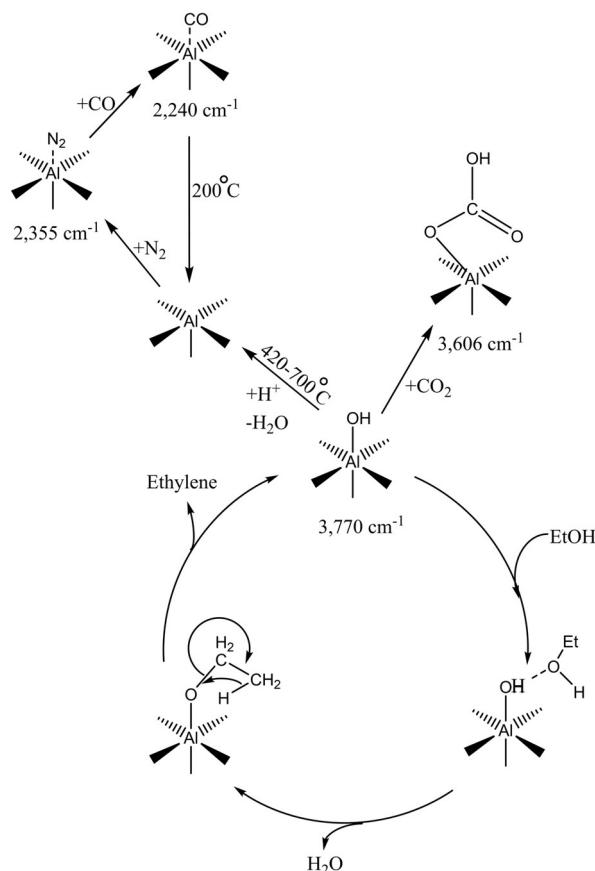
In previous studies the selective coordination of Pt and Ba ions to  $\text{Al}_p$  sites was shown.<sup>[23]</sup> Now we propose that the reaction between  $\text{Al}_p\text{-OH}$  and M-OH (where M is Pt, Na, or Ba) drives this interaction:



Activity of the SBA-200 and rhombus-platelet samples for ethanol dehydration is shown in Figure S4 and S5. When the  $\text{O}_5\text{Al}^{\text{VI}}\text{-OH}$  groups at  $3770 \text{ cm}^{-1}$  are poisoned with Na ions with the formation of  $\text{O}_5\text{Al}^{\text{VI}}\text{-O-Na}$  units (Figure S14), the

activity is significantly retarded (activity data are summarized in Figure S4,S5 for rhombus-platelet and SBA-200 samples with and without Na). Indeed, Datye and co-workers in their study on the ethanol dehydration activity of various alumina samples found that the most active transition aluminas for alcohol dehydration always possessed high relative fractions of the -OH band at  $\approx 3770 \text{ cm}^{-1}$ .<sup>[36]</sup> At the time, the precise identity of this band was not identified. Therefore, the culmination of the data in our study enables the unambiguous conclusion that ethanol dehydration largely takes place on the  $\text{O}_5\text{Al}^{\text{VI}}\text{-OH}$  sites of the reconstructed (110) facets of the  $\gamma$ - $\text{Al}_2\text{O}_3$  surface for the typical rhombus-platelet alumina. Since this Al-OH group is basic, it activates weakly acidic ethanol resulting in the formation of Al-ethoxide. From  $\text{O}_5\text{Al}^{\text{VI}}\text{-O-CH}_2\text{-CH}_3$ , decomposition enables  $\text{C}_2\text{H}_4$  to evolve, completing the catalytic cycle (Scheme 1).

Taking advantage of the state-of-the-art synthesis methods, we further prepared the rhombus-platelet, platelet and rod-like  $\gamma$ -alumina samples with similar surface areas  $\approx 70 \text{ m}^2\text{g}^{-1}$ : as we noted earlier, the rhombus-platelet sample lacks the macroscopically defined (100) facets. By varying the aspect ratio (i.e., elongating the crystals) we increased the surface area of the macroscopically defined (100) facets [which is maximized for the rod-like  $\gamma$ -alumina sample]. In



**Scheme 1.** Catalytic cycle for ethanol dehydration as well as interactions with probe molecules described in our study for the parent  $\text{O}_5\text{Al}^{\text{VI}}\text{-OH}$  site as well as dehydroxylated  $\text{Al}^{\text{VI}}\text{O}_5$  sites on the surface of  $\gamma$ -alumina.

accordance with our suggestions, the rod-like sample with the maximized amount of (100) facets possessed the highest relative amount of  $\approx 3780$ – $3770\text{ cm}^{-1}$  (octahedral  $\text{O}_5\text{Al}^{\text{IV}}\text{-OH}$  group) and  $\approx 3660\text{ cm}^{-1}$  (doubly bridging Al-OH-Al bands) (Figure S16). It also possessed the highest activity in both dissociative ethanol TPD and catalytic ethanol dehydration studies (Figure S17,S18) with activity linearly increasing with the relative increase of the macroscopically defined (100) facet, in excellent agreement with our conclusions. Furthermore, our new findings can now explain how certain alumina samples lacking the macroscopically defined (100) facets still possess high reactivity in alcohol dehydration. This, of course, occurs due to breaking down of macroscopic (110) facet into (100) and (111) nano-facets due to their higher thermodynamic stability.

## Conclusion

Although  $\gamma$ -alumina is one of the oldest and largest-scale commercial catalytic materials, the precise identification of its catalytically active sites has remained elusive. With the aid of state-of-the-art FTIR, high-field solid state NMR, and HRTEM techniques, clarification of the nature of the active sites on the surface of  $\gamma$ -alumina for ethanol dehydration (as well as anchoring of organometallic fragments and  $\text{CO}/\text{N}_2$  activation) is proposed, providing the atomic-scale guidelines for the design of more active materials in the future.

## Acknowledgements

The research at PNNL was supported by the U.S. Department of Energy (DOE), Office of Science, Basic Energy Sciences, Chemical Sciences, Geosciences and Biosciences Division at Pacific Northwest National Laboratory (PNNL). (DE-AC05-76RL01830, FWP 47319). Experiments were conducted in the Environmental Molecular Sciences Laboratory (EMSL), a national scientific user facility sponsored by the Department of Energy's Office of Biological and Environmental Research at Pacific Northwest National Laboratory (PNNL). PNNL is a multi-program national laboratory operated for the DOE by Battelle Memorial Institute under Contract DE-AC05-76RL01830.

## Conflict of interest

The authors declare no conflict of interest.

**Keywords:** alumina · electron microscopy · ethanol dehydration · heterogeneous catalysis · solid-state NMR spectroscopy

[1] W. Ipatiew, *J. Prakt. Chem.* **1903**, 67, 420.  
 [2] M. W. Steward, H. W. Clark, D. P. Coffey, L. Luo, A. E. Schweizer (Dow Global Technologies), U.S. Patent 9242919, 2016.

[3] A. Zecchina, S. Califano, *The Development of Catalysis: A History of Key Processes and Personas in Catalytic Technology*, Wiley, Hoboken, 2017.  
 [4] A. V. Grosse, V. N. Ipatieff, *Ind. Eng. Chem.* **1940**, 32, 268–272.  
 [5] M. Boudart, *Catalysis by Supported Metals*, Vol. 20 (Ed.: W. G. Frankenberg), Academic Press, New York, 1969, chap. XX.  
 [6] K. H. Buchel, H.-H. Moretto, P. Woditsch, *Industrial Inorganic Chemistry*, 2nd ed., Wiley-VCH, Weinheim, 2000.  
 [7] “Catalysis from Art to Science”: W. M. H. Sachtler in *Surface Chemistry and Catalysis. Fundamental and Applied Catalysis* (Eds.: A. F. Carley, P. R. Davies, G. J. Hutchings, M. S. Spencer), Springer, Boston, 2002.  
 [8] L. Kovarik, M. Bowden, A. Genc, J. Szanyi, C. H. F. Peden, J. H. Kwak, *J. Phys. Chem. C* **2014**, 118, 18051–18058.  
 [9] L. Kovarik, M. Bowden, D. Shi, N. M. Washton, A. Andersen, J. Z. Hu, J. Lee, J. Szanyi, J. H. Kwak, C. H. F. Peden, *Chem. Mater.* **2015**, 27, 7042–7049.  
 [10] L. Kovarik, A. Genc, C. Wang, A. Qiu, C. H. Peden, J. Szanyi, J. H. Kwak, *J. Phys. Chem. C* **2013**, 117, 179–186.  
 [11] L. Kovarik, M. Bowden, D. Shi, J. Szanyi, C. H. F. Peden, *J. Phys. Chem. C* **2019**, 123, 9454–9460.  
 [12] R. Prins, *Angew. Chem. Int. Ed.* **2019**, 58, 15548–15552; *Angew. Chem.* **2019**, 131, 15694–15698.  
 [13] R. Prins, *J. Catal.* **2020**, 392, 336–346.  
 [14] M. Digne, P. Sautet, P. Raybaud, P. Euzen, H. Toulhoat, *J. Catal.* **2004**, 226, 54–68.  
 [15] R. Wischert, C. Copéret, F. Delbecq, P. Sautet, *Chem. Commun.* **2011**, 47, 4890–4892.  
 [16] R. Wischert, P. Laurent, C. Copéret, F. Delbecq, P. Sautet, *J. Am. Chem. Soc.* **2012**, 134, 14430–14449.  
 [17] R. Wischert, P. Florian, C. Copéret, D. Massiot, P. Sautet, *J. Phys. Chem. C* **2014**, 118, 15292–15299.  
 [18] R. Wischert, C. Copéret, F. Delbecq, P. Sautet, *Angew. Chem. Int. Ed.* **2011**, 50, 3202–3205; *Angew. Chem.* **2011**, 123, 3260–3263.  
 [19] A. Salameh, J. Joubert, A. Baudouin, W. Lukens, F. Delbecq, P. Sautet, J. M. Basset, C. Copéret, *Angew. Chem. Int. Ed.* **2007**, 46, 3870–3873; *Angew. Chem.* **2007**, 119, 3944–3947.  
 [20] M. Valla, R. Wischert, A. Comas-Vives, M. P. Conley, R. Verel, C. Copéret, P. Sautet, *J. Am. Chem. Soc.* **2016**, 138, 6774–6785.  
 [21] H. Knözinger, P. Ratnasamy, *Catal. Rev. Sci. Eng.* **1978**, 17, 31–70.  
 [22] G. Busca, *Catal. Today* **2014**, 226, 2.  
 [23] J. H. Kwak, J. Hu, D. Mei, C.-W. Yi, D. H. Kim, C. H. F. Peden, L. F. Allard, J. Szanyi, *Science* **2009**, 325, 1670–1673.  
 [24] J. Lee, E. J. Jang, H. Y. Jeong, J. H. Kwak, *Appl. Catal. A* **2018**, 556, 121.  
 [25] J. H. Kwak, D. Mei, C. H. F. Peden, R. Rousseau, J. Szanyi, *Catal. Lett.* **2011**, 141, 649–655.  
 [26] S. A. Zubkov, V. Y. Borovkov, S. G. Gagarin, V. B. Kazanskii, *Chem. Phys. Lett.* **1984**, 107, 337.  
 [27] N. R. Jaegers, J. K. Lai, Y. He, E. Walter, D. A. Dixon, M. Vasiliu, Y. Chen, C. M. Wang, M. Y. Hu, K. T. Mueller, I. E. Wachs, Y. Wang, J. Z. Hu, *Angew. Chem. Int. Ed.* **2019**, 58, 12609–12616; *Angew. Chem.* **2019**, 131, 12739–12746.  
 [28] N. R. Jaegers, K. T. Mueller, Y. Wang, J. Z. Hu, *Acc. Chem. Res.* **2020**, 53, 611–619.  
 [29] L. Kovarik, M. Bowden, J. Szanyi, *J. Catal.* **2021**, 393, 357–368.  
 [30] S. P. Zhdanov, L. S. Kosheleva, T. I. Titova, *Langmuir* **1987**, 3, 960–967.  
 [31] M. Taoufik, et al., *Chem. Eur. J.* **2014**, 20, 4038–4046.  
 [32] L. Kovarik, M. Bowden, A. Andersen, N. R. Jaegers, N. Washton, J. Szanyi, *Angew. Chem. Int. Ed.* **2020**, 59, 21719–21727; *Angew. Chem.* **2020**, 132, 21903–21911.  
 [33] K. Khivantsev, N. R. Jaegers, I. Z. Koleva, H. A. Aleksandrov, L. Kovarik, M. Engelhard, F. Gao, Y. Wang, G. N. Vayssilov, J. Szanyi, *J. Phys. Chem. C* **2020**, 124, 309–321.

[34] N. R. Jaegers, K. Khivantsev, L. Kovarik, D. Klas, J. Z. Hu, Y. Wang, J. Szanyi, *Catal. Sci. Technol.* **2019**, *9*, 6570–6576.

[35] P. J. Chupas, K. W. Chapman, G. J. Halder, *J. Am. Chem. Soc.* **2011**, *133*, 8522–8524.

[36] S. Srinivasanab, C. R. Narayanan, A. K. Datye, *Appl. Catal. A* **1995**, *132*, 289–308.

Manuscript received: February 9, 2021  
Revised manuscript received: April 9, 2021  
Accepted manuscript online: April 26, 2021  
Version of record online: June 29, 2021

---